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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:		Examiner: NYA
Sanhav Aiyagari et al.)	Group Art Unit No.: 2171
Serial No.: 10/698,498)	-
Filed on: October 30, 2003)	
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For: ROLE-BASED ACCESS CONTROL ENFORCED BY FILESYSTEM OF AN OPERATING SYSTEM

Mail Stop Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

_X	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e)

	1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
	1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
 37 C.F.R. { the following the	§1.97(d). If so, then this Information Disclosure Statement includes ng:
· .	A statement pursuant to 37 C.F.R. §1.97(e)
	1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR
	1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
	AND
	A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.
	§1.97(i). Wherein applicants are submitting references before the atent to be placed in the file but not considered by the Patent office.
1449 a	dingly, copies of the references as listed on the attached Form PTO are submitted herewith for placement in the file. No certification or the deemed necessary

	The Examiner's attention is directed to the following related application(s) for
the following	reasons (as indicated by an "X" to the left of the appropriate paragraph):
	The Examiner is hereby notified that the following related application has been filed:
	U.S. Application Serial No, filed on
	This related application contains one or more claims that may be substantially similar to one or more claims in the present application. Therefore, the Examiner is encouraged to reference the file history of the related application as some of the information contained therein may be material to the examination of the present application.
	The Examiner is hereby notified that the present application is related to the following related application(s):
	U.S. Application Serial No, filed on
	The related application(s) contain(s) one or more claims that may be substantially similar to one or more claims in the present application, those claims may have been rejected in the related application(s), and a different interpretation may have been applied to one or more of the references that are of record in the present application. Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application. The Examiner is hereby notified that for the following related application(s) an Office Action has been received as indicated below: U.S. Application Serial No, filed on (Office Action mailing date).
	The related application(s) contain(s) one or more claims that may be substantially similar to one or more claims in the present application, those claims may have

Attorney Docket No. 50325-0805

been rejected in the related application(s), and a different interpretation may have been applied to one or more of the references that are of record in the present application. Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Christople Anh

Dated: July 14, 2004

Christopher J. Palermo

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

July / 5, 2004 (Date of Deposit)

Jennifer Newell

(Typed or printed name of person mailing correspondence)

(Signature of person mailing correspondence)

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

ATTY. DOCKET NO.50325-0805

SERIAL NO.10/698,498

APPLICANT: Sanjay Aiyagari et al.

FILING DATE: October 30, 2003

GROUP: 2171

Exam. Cite Initial* No.1	U.S. Patent	Document	U.S. PATENT DOCUMENTS Name of Patentee or Applicant of Cited Document	Date of Publication of	Pages, Columns,	
	NO.	Number	Kind Code ² (If known)	of Cited Document	Cited Document MM-DD-YYYY	Lines, Where Relevant Passages or Relevant Figures Appear
		6, 748, 436		Anand et al.	06-08-2004	
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Exam. Initial*	Cite No. ¹	Office ³	neign Patent Number ⁴	Document Kind Code ⁵ (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner	11 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 	Date Considered	· · · · · · · · · · · · · · · · · · ·
Signature			

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). 4For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6Applicant is to place a check mark here if English language Translation is attached.

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.